

# KYC/AML Report

## Recommendation

CONTINUE

## Case Reference

July 4

## Request Id

RB001746458663

## Event date/time

23/07/2026 14:53:12

## Applicant

|               |                        |
|---------------|------------------------|
| Date of Birth | 24/11/1963             |
| Name          | Mr John Joseph Shields |
| Consent       | True                   |

## Identity Documents

|                      |                      |
|----------------------|----------------------|
| Identity Document ID | IDDOC_DRIVER_LICENSE |
| Document Number      | SHIEL611243JJ9VN     |
| Document Type        | DRIVER_LICENSE       |
| Expires Date         | 27/10/2026           |

## Telephones

|                  |             |
|------------------|-------------|
| Telephone Number | 07483244270 |
|------------------|-------------|

## Addresses

### CURRENT

|                 |               |
|-----------------|---------------|
| Flat            | 1/2           |
| Building Number | 17            |
| Street          | Connal Street |
| Post Town       | Rutherglen    |

Results

|                       |  |  |          |
|-----------------------|--|--|----------|
| UK ID Data Submission |  |  | CONTINUE |
|-----------------------|--|--|----------|

|              |               |              |
|--------------|---------------|--------------|
| Applicant ID | App Reference | Rules Matrix |
| APPLICANT-1  | AK6Q3XKN7X    |              |

|                       |  |  |          |
|-----------------------|--|--|----------|
| Identity Verification |  |  | CONTINUE |
|-----------------------|--|--|----------|

|              |               |              |
|--------------|---------------|--------------|
| Applicant ID | App Reference | Rules Matrix |
| APPLICANT-1  | AK6Q3XKN7X    | 2ProveID234  |

| Key Contributor   |               |                          |                                          |
|-------------------|---------------|--------------------------|------------------------------------------|
| Key Decision Rule | Key Rule Name | Key Decision Rule Result | Key Decision Rule Text                   |
| 7603              | V_EId_2PSNAx  | True                     | 2+ Primary or Secondary Name and Address |

| Rules   |              |             |                                                                        |
|---------|--------------|-------------|------------------------------------------------------------------------|
| Rule ID | Rule Name    | Rule Result | Rule Text                                                              |
| 7603    | V_EId_2PSNAx | True        | 2+ Primary or Secondary Name and Address                               |
| 6710    | V_EId_1ScNAx | True        | At least one Primary or Secondary Data Source, with a name and address |
| 9991    | NRF9991      | True        | This rule is always true                                               |
| 9990    | NRF9990      | False       | No other rule has fired                                                |

| Data Sources           |                               |                          |              |           |
|------------------------|-------------------------------|--------------------------|--------------|-----------|
| Number of Data Sources | Name, current address and DOB | Name and current address | Name and DOB | Name only |
| Primary data sources   | 7                             | 0                        | 0            | 0         |
| Secondary data sources | 0                             | 0                        | 0            | 0         |
| Tertiary data sources  | 0                             | 0                        | 0            | 0         |
| All data sources       | 7                             | 0                        | 0            | 0         |

| Attributes                            |                               |                          |              |           |
|---------------------------------------|-------------------------------|--------------------------|--------------|-----------|
| Data sources with an exact name match | Name, current address and DOB | Name and current address | Name and DOB | Name only |
| Primary, exact name match             | 7                             | 0                        | 0            | 0         |
| Secondary, exact name match           | 0                             | 0                        | 0            | 0         |
| Tertiary, exact name match            | 0                             | 0                        | 0            | 0         |
| All, exact name match                 | 7                             | 0                        | 0            | 0         |
| Data sources with an exact DOB match  | Name, current address and DOB | Name and DOB             |              |           |
| Primary, exact DOB match              | 7                             | 0                        |              |           |
| Secondary, exact DOB match            | 0                             |                          |              |           |
| Tertiary, exact DOB match             | 0                             |                          |              |           |

|                      |                               |                  |
|----------------------|-------------------------------|------------------|
| All, exact DOB match | 7                             |                  |
| Active data sources  | Name, current address and DOB | Name and address |
| Primary, active      | 3                             | 0                |
| Secondary, active    | 0                             | 0                |
| Tertiary, active     |                               |                  |
| All, active          | 3                             | 0                |

| Data Source Details               |       |              |                       |
|-----------------------------------|-------|--------------|-----------------------|
| Sources                           | Items | Data Sources | Data Source Hierarchy |
| Unsecured Loans                   | 1     | 1            | 1                     |
| Finance                           | 1     | 1            | 1                     |
| Credit and Store Cards            | 1     | 1            | 1                     |
| Current Accounts                  | 1     | 1            | 1                     |
| Media Services and Communications | 3     | 3            | 3                     |

| Bureau Data Return |              |              |                 |               |          |            |        |         |
|--------------------|--------------|--------------|-----------------|---------------|----------|------------|--------|---------|
| Searched Contact   |              |              |                 |               |          |            |        |         |
| Type               | Title        | First Name   |                 | Middle Name   |          | Surname    |        |         |
| CURRENT            | MR           | JOHN         |                 | JOSEPH        |          | SHIELDS    |        |         |
| Date of Birth      |              |              |                 |               |          |            |        |         |
| 24/11/1963         |              |              |                 |               |          |            |        |         |
| Address Type       | Sub Building | Bulding Name | Building Number | Street        | Locality | Post Town  | County | Postal  |
| CURRENT            | 1/2          |              | 17              | CONNAL STREET |          | RUTHERGLEN |        | G40 3HF |

|         |          |
|---------|----------|
| Contras | CONTINUE |
|---------|----------|

| Applicant ID | App Reference | Rules Matrix  |
|--------------|---------------|---------------|
| APPLICANT-1  | AK6Q3XKN7X    | MortHalo_2403 |

| Key Contributor   |               |                          |                         |
|-------------------|---------------|--------------------------|-------------------------|
| Key Decision Rule | Key Rule Name | Key Decision Rule Result | Key Decision Rule Text  |
| 9990              | NRF9990       | True                     | No other rule has fired |

| Rules   |                                    |             |                                                                                            |
|---------|------------------------------------|-------------|--------------------------------------------------------------------------------------------|
| Rule ID | Rule Name                          | Rule Result | Rule Text                                                                                  |
| C9110   | V_Mort-H_Match                     | False       | Existence of a Mortality Record from the Halo/Millennium data source                       |
| C1001   | V_Eld_da                           | False       | No non-excluded data records with DoB                                                      |
| C9750   | V_Driving_Licence_Details_NotValid | False       | Driving Licence details did not match AND/OR the Driving Licence Number format was invalid |
| C9751   | V_Driving_Licence_Details_Partial  | False       | Driving Licence Number format was valid however not all details were an exact match        |
| C9752   | V_DL_Nbr_Surname_NoMatch           | False       | Applicant's surname did not match driving licence number                                   |
| C9753   | V_DL_Nbr_Initials_NoMatch          | False       | Applicant's initials did not match driving licence number                                  |

|       |                                |       |                                                                      |
|-------|--------------------------------|-------|----------------------------------------------------------------------|
| C9754 | V_DL_Nbr_Dob_NoMatch           | False | Applicant's date of birth did not match driving licence number       |
| C9755 | V_DL_Nbr_Detail_NoMatch        | False | Applicant's Number Detail did not match driving licence number       |
| C9756 | V_DL_Nbr_Check_NoMatch         | False | Driving Licence format is invalid                                    |
| C9757 | V_DL_CatchAll                  | False | Driver licence details were incomplete or invalid                    |
| C9815 | V_Passport_Partial             | False | Passport has valid format but expiry date is not a valid future date |
| C9850 | V_Passport_Number_NotValid     | False | Applicant's passport number is not valid                             |
| C9851 | V_Passport_Expiry_Date_Expired | False | Applicant's passport expiry date is not a valid future date          |
| C9852 | V_Passport_CatchAll            | False | Passport details were incomplete or invalid                          |
| 9990  | NRF9990                        | True  | No other rule has fired                                              |

| Driving License Match Details |               |                |           |              |
|-------------------------------|---------------|----------------|-----------|--------------|
| Number Check                  | Surname Check | Initials Check | DoB Check | Detail Check |
| Valid                         | Match         | Match          | Match     | Match        |

| PEPs & Sanctions |  |  | CONTINUE |
|------------------|--|--|----------|
|------------------|--|--|----------|

| Applicant ID | App Reference | Rules Matrix     |
|--------------|---------------|------------------|
| APPLICANT-1  | AK6Q3XKN7X    | 1MatchScheme2311 |

| Key Contributor   |               |                          |                         |
|-------------------|---------------|--------------------------|-------------------------|
| Key Decision Rule | Key Rule Name | Key Decision Rule Result | Key Decision Rule Text  |
| 9990              | NRF9990       | True                     | No other rule has fired |

| Rules   |                             |             |                                                                                                |
|---------|-----------------------------|-------------|------------------------------------------------------------------------------------------------|
| Rule ID | Rule Name                   | Rule Result | Rule Text                                                                                      |
| PSE9208 | LN_PEP_EN_FULLDOB_EU        | False       | Items with Exact Name and Full Date of Birth where category is EU >=1                          |
| PSE9600 | LN_PEP_EN_FULLDOB_GLOBAL    | False       | One or more Exact Name and Full Date of Birth PEP match                                        |
| PSE9200 | LN_PEP_EN_FULLDOB_INT       | False       | Items with Exact Name and Full Date of Birth where category is International >=1               |
| PSE9216 | LN_PEP_EN_FULLDOB_ROW       | False       | Items with Exact Name and Full Date of Birth where category is Rest of the World >=1           |
| PSE9204 | LN_PEP_EN_FULLDOB_UK        | False       | Items with Exact Name and Full Date of Birth where category is UK >=1                          |
| PSE9220 | LN_PEP_EN_FULLDOB_UNK       | False       | Existence of a PEP record from an unknown category with an exact name match and full DoB match |
| PSE9212 | LN_PEP_EN_FULLDOB_USA       | False       | Items with Exact Name and Full Date of Birth where category is USA >=1                         |
| PSE9732 | LN_SAN_EN_FULLDOB_CSLLIST   | False       | One or more Exact Name and Full Date of Birth SAN match for Consolidated Sanctions List        |
| PSE9308 | LN_SAN_EN_FULLDOB_EU        | False       | Existence of a SAN record from an EU category with an exact name match and full DoB match      |
| PSE9304 | LN_SAN_EN_FULLDOB_HMT       | False       | Existence of a SAN record from an HMT category with an exact name match and full DoB match     |
| PSE9708 | LN_SAN_EN_FULLDOB_OFACOTHER | False       | One or more Exact Name and Full Date of Birth SAN match for OFAC-Other                         |
| PSE9700 | LN_SAN_EN_FULLDOB_OFACSDN   | False       | One or more Exact Name and Full Date of Birth SAN match for OFAC-SDN                           |
| PSE9724 | LN_SAN_EN_FULLDOB_OTHER     | False       | One or more Exact Name and Full Date of Birth SAN match for OTHER                              |
| PSE9300 | LN_SAN_EN_FULLDOB_UN        | False       | Existence of a SAN record from an UN category with an exact name match and full DoB match      |
| PSE9320 | LN_SAN_EN_FULLDOB_UNK       | False       | Existence of a SAN record from an unknown category with an exact name match and full DoB match |
| PSE9716 | LN_SAN_EN_FULLDOB_USAOTHER  | False       | One or more Exact Name and Full Date of Birth SAN match for USA OTHER                          |
| 9990    | NRF9990                     | True        | No other rule has fired                                                                        |

| Data Counts |            |            |                                 |                          |                      |
|-------------|------------|------------|---------------------------------|--------------------------|----------------------|
| DataItems   | Duplicates | DataGroups | ItemsOtherAddressesEnforcements | ItemsENFExactNameEUOther | ItemsENFExactNameUSA |
| 5           | 0          | 1          | 5                               | 1                        | 4                    |

Enforcements

| Entity ID | Entity Type | Entity Creation | Entity Modified |
|-----------|-------------|-----------------|-----------------|
| 2023649   | INDIVIDUAL  | 2011-01-10      | 2019-03-18      |

Dates Of Birth

| Date of Birth 1 |
|-----------------|
|-----------------|

|      |
|------|
| 1971 |
|------|

Date of Birth 2

|      |
|------|
| 1972 |
|------|

Entity Names

| Name 1 |
|--------|
|--------|

|              |
|--------------|
| JOHN SHIELDS |
|--------------|

Bodies

| Body 1 |
|--------|
|--------|

| Body ID | Body Name                                             | Category |
|---------|-------------------------------------------------------|----------|
| 1150    | US-United States Attorney - Northern District of Ohio | USA      |

Positions

| Position 1 |
|------------|
|------------|

| Position                              | Country Code | Grouping |
|---------------------------------------|--------------|----------|
| Charged with fraud - January 20, 2006 | US           | USA      |

Enforcements

| Entity ID | Entity Type | Entity Creation | Entity Modified |
|-----------|-------------|-----------------|-----------------|
| 7024974   | INDIVIDUAL  | 2015-07-21      | 2017-05-23      |

Dates Of Birth

| Date of Birth 1 |
|-----------------|
|-----------------|

|      |
|------|
| 1969 |
|------|

Date of Birth 2

|      |
|------|
| 1970 |
|------|

Entity Names

| Name 1 |
|--------|
|--------|

|              |
|--------------|
| JOHN SHIELDS |
|--------------|

Bodies

| Body 1 |
|--------|
|--------|

| Body ID | Body Name                                                | Category |
|---------|----------------------------------------------------------|----------|
| 1129    | US-United States Attorney - Eastern District of New York | USA      |

Positions

| Position 1 |
|------------|
|------------|

| Position                                                                                                      | Country Code | Grouping |
|---------------------------------------------------------------------------------------------------------------|--------------|----------|
| Charged with racketeering, extortion, loansharking and operating an illegal gambling business - July 17, 2015 | US           | USA      |

Enforcements

| Entity ID                                | Entity Type                                    | Entity Creation | Entity Modified |
|------------------------------------------|------------------------------------------------|-----------------|-----------------|
| 7212874                                  | INDIVIDUAL                                     | 2016-01-05      | 2025-08-20      |
| Dates Of Birth                           |                                                |                 |                 |
| Date of Birth 1                          |                                                |                 |                 |
| 1966-01-18                               |                                                |                 |                 |
| Entity Names                             |                                                |                 |                 |
| Name 1                                   |                                                |                 |                 |
| JOHN SHIELDS                             |                                                |                 |                 |
| Bodies                                   |                                                |                 |                 |
| Body 1                                   |                                                |                 |                 |
| Body ID                                  | Body Name                                      |                 | Category        |
| 0462                                     | IE-Revenue Commissioners - Irish Tax & Customs |                 | EU - Other      |
| Positions                                |                                                |                 |                 |
| Position 1                               |                                                |                 |                 |
| Position                                 |                                                | Country Code    | Grouping        |
| Paid EUR 113,161 for tax evasion - 2012. |                                                | IE              | EU              |

Enforcements

| Entity ID                                                                           | Entity Type                                               | Entity Creation | Entity Modified |
|-------------------------------------------------------------------------------------|-----------------------------------------------------------|-----------------|-----------------|
| 8281812                                                                             | INDIVIDUAL                                                | 2017-06-30      | 2026-06-09      |
| Dates Of Birth                                                                      |                                                           |                 |                 |
| Date of Birth 1                                                                     |                                                           |                 |                 |
| 1985                                                                                |                                                           |                 |                 |
| Date of Birth 2                                                                     |                                                           |                 |                 |
| 1986                                                                                |                                                           |                 |                 |
| Entity Names                                                                        |                                                           |                 |                 |
| Name 1                                                                              |                                                           |                 |                 |
| JOHN SHIELDS                                                                        |                                                           |                 |                 |
| Bodies                                                                              |                                                           |                 |                 |
| Body 1                                                                              |                                                           |                 |                 |
| Body ID                                                                             | Body Name                                                 | Category        |                 |
| 1185                                                                                | US-United States Attorney - Western District of Tennessee | USA             |                 |
| Positions                                                                           |                                                           |                 |                 |
| Position 1                                                                          |                                                           |                 |                 |
| Position                                                                            | Country Code                                              |                 | Grouping        |
| Pleads guilty to conspiracy, drug trafficking and money laundering - July 23, 2019. | US                                                        |                 | USA             |

Enforcements

| Entity ID | Entity Type | Entity Creation | Entity Modified |
|-----------|-------------|-----------------|-----------------|
| 12014907  | INDIVIDUAL  | 2022-02-08      | 2023-07-04      |

|                                                                                                                                |                                                               |          |
|--------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------|----------|
| Entity Names                                                                                                                   |                                                               |          |
| Name 1                                                                                                                         |                                                               |          |
| JOHN SHIELDS                                                                                                                   |                                                               |          |
| Bodies                                                                                                                         |                                                               |          |
| Body 1                                                                                                                         |                                                               |          |
| Body ID                                                                                                                        | Body Name                                                     | Category |
| 1319                                                                                                                           | US-Illinois Department of Financial & Professional Regulation | USA      |
| Positions                                                                                                                      |                                                               |          |
| Position 1                                                                                                                     |                                                               |          |
| Position                                                                                                                       | Country Code                                                  | Grouping |
| Permanent employee registration card suspended for failing to file and/or pay Illinois state income taxes - December 20, 2021. | US                                                            | USA      |